

(19) World Intellectual Property Organization International Bureau



(43) International Publication Date  
14 July 2005 (14.07.2005)

PCT

(10) International Publication Number  
**WO 2005/064371 A1**

(51) International Patent Classification<sup>7</sup>: **G02B 6/12**,  
6/14, 6/30

PIRELLI LABS S.p.A., Viale Sarca, 222, I-20126 MILANO (IT).

(21) International Application Number:  
PCT/EP2003/051108

(74) Agents: MACCALLI, Marco et al.; c/o MACCALLI & PEZZOLI S.r.l., Via Settembrini, 40, I-20124 MILANO (IT).

(22) International Filing Date:  
29 December 2003 (29.12.2003)

(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(25) Filing Language: English

(84) Designated States (regional): ARIPO patent (BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE,

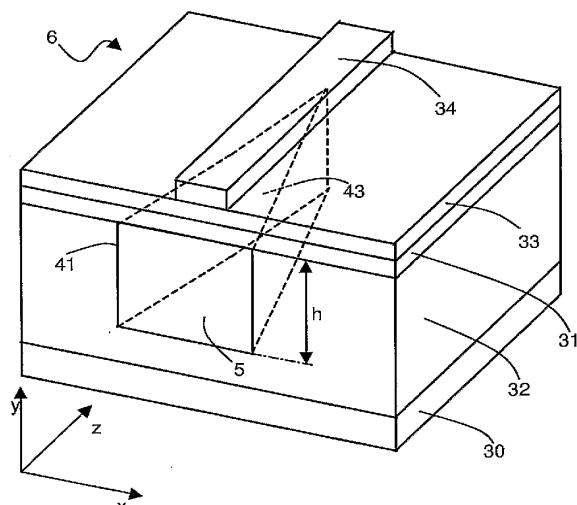
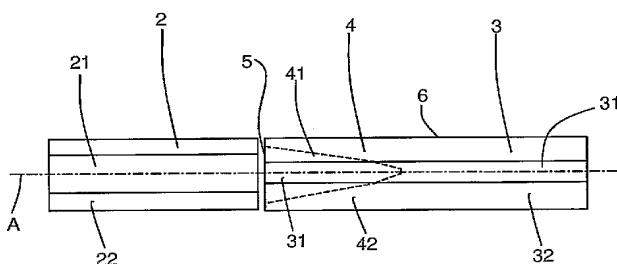
(26) Publication Language: English

(71) Applicant (for all designated States except US): **PIRELLI & C. S.P.A. [IT/IT]**; Via Gaetano Negri, 10, I-20123 MILANO (IT).

(72) Inventors; and  
(75) Inventors/Applicants (for US only): **CHERCHI, Matteo [IT/IT]**; c/o PIRELLI LABS S.p.A., Viale Sarca, 222, I-20126 MILANO (IT). **GORNI, Giacomo [IT/IT]**; c/o

*[Continued on next page]*

(54) Title: OPTICAL COUPLING DEVICE



(57) Abstract: An optical mode converter comprises a coupling waveguide (4) and a receiving waveguide (3). The coupling waveguide has at an input end a first effective refractive index  $n_{1\text{eff}}$  and includes a tapered core (41) of a substantially constant refractive index  $n_1$  with a substantially square cross section at the input end (5), having a size that tapers down moving away from the input end. The coupling waveguide has also a cladding (42) at least partially surrounding the tapered core. The receiving waveguide has a second effective refractive index  $n_{2\text{eff}}$  at an output end and comprises a core (31) of a substantially constant refractive index  $n_2$ , greater than the refractive index  $n_1$  of the tapered core (41) of the coupling waveguide, and a cladding (32) at least partially surrounding the core. A side surface (43) of the tapered core of the coupling waveguide (4) is optically in contact, in a coupling portion, with the receiving waveguide (3) so as to allow optical coupling between the coupling waveguide (4) and the receiving waveguide (3). The refractive index  $n_1$  of the tapered core of the coupling waveguide (4) is selected so that the first effective refractive index  $n_{1\text{eff}}$  and the second effective refractive index  $n_{2\text{eff}}$  differ from each other in absolute value less than 30% of the difference  $(n_2 - n_{2\text{eff}})$  between the core refractive index and the effective refractive index of the receiving waveguide (3). A method for fabricating an optical tapered waveguide is also disclosed.



ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

**Published:**

— *with international search report*

**Declaration under Rule 4.17:**

— *of inventorship (Rule 4.17(iv)) for US only*

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*